Department of Climate Change,
Energy, the Environment and Water

Clean Technology Innovation Grant

Supplementary guidance

June 2026

NSW GOVERNMENT

Acknowledgment of Country

Department of Climate Change, Energy, the Environment and Water acknowledges the traditional custodians of the land and pays respect to Elders past, present and future.

We recognise Australian Aboriginal and Torres Strait Islander peoples' unique cultural and spiritual relationships to place and their rich contribution to society.

Artist and designer Nikita Ridgeway from Aboriginal design agency – Boss Lady Creative Designs, created the People and Community symbol.

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Clean Technology Innovation Grant

Supplementary guidance

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1. Introduction

1.1 Purpose of this supplementary guidance

This guidance document is supplementary to the Clean Technology Innovation grant funding guidelines on our website.

This guidance provides important information to help you complete your application for the Clean Technology Innovation grant. It supports you to:

- determine the priority area for your project or product
- understand what is and is not eligible under the Clean Technology Innovation grant
- understand the assessment process
- understand the general terms and conditions.

This guidance must be read in conjunction with the funding guidelines and information published on our website.

You can also read the frequently asked questions published on our website. Specific questions related to individual applicant's proposal will not be published.

We will also hold a webinar and question and answer session about this funding opportunity. You can register to attend via our website. If you cannot attend this session a recording of it will be published on our website.

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2. Guidance on your application

To be eligible for grant funding, your project must meet all eligibility criteria outlined in the Clean Technology Innovation grant funding guidelines on our website. Applicants will be required to provide information to demonstrate how they meet eligibility and merit criteria.

2.1 Applicant eligibility

2.1.1. Change of applicant entity

The department will only enter into a funding deed with the applicant assessed by the department during the application process. If, at any stage of the application process, the funding recipient is expected to be a different legal entity to the applicant stated, the applicant must clearly specify this in their application and provide all necessary information requested to evidence this. We reserve the right to deem an application ineligible for funding if the applicant is unable to support these claims with sufficient evidence.

2.1.2 Ineligible applicants

Ineligible applicants include:

- foreign national or sub-government, departments, owned or controlled entities
- organisations that do not have an Australian Business Number (ABN) and are unable to obtain an ABN to enter into a funding deed
- other incorporated organisations
- an individual (including sole traders)
- an insolvent business.

2.1.3 International and collaborative applicants

International and collaborative applications are permitted, provided the lead applicant satisfies the additional eligibility criteria and other requirements listed in Table 1.

Table 1: Additional eligibility criteria

International applicants	Collaborative applications
If you are an international applicant, you must outline how the clean technology is significantly more innovative and commercially feasible than alternative solutions currently available in NSW and Australia. You will need to explain why the clean technology hasn't been able to enter the NSW or Australian market and how the Clean Technology Innovation grant will help overcome these barriers. You must have an NSW-based project sponsor. This sponsor must be a potential customer from an emissions-intensive business or industry in NSW. During the application stage, you will need to provide a letter of endorsement, from a NSW-based project sponsor, endorsing the technical and commercial feasibility of the clean technology and outlining their interest in purchasing the clean technology.	Collaboration between research institutes, industry and innovators is critical to accelerating the decarbonisation of high emitting and hard-to-abate sectors in NSW. We encourage collaborative applications that demonstrate multi-disciplinary expertise and financial co-contributions. You are required to provide details of any collaboration involved in the delivery of your project. A letter of support is required from each member of the group including: • details of the partner organisation • an overview of how the partner organisation will work with you and any other partner organisations in the group to successfully complete your project • details of any funding contributions the partner organisation will provide • an outline of the partner organisation's relevant experience and/or expertise • the roles and responsibilities of the partner organisation • details of key contracts for that organisation and any staff that will be involved in the project. You must provide full details of your project's governance arrangements. You must provide signed formal agreements between all parties involved in your project prior to executing the funding deed.

2.2 Project eligibility

As an applicant you are required to demonstrate how you meet the eligibility criteria as outlined in the Clean Technology Innovation grant funding guidelines on our website. The following sections provide details on the eligibility criteria for eligible projects – project location, technological readiness level, the commercial readiness level and the priority areas of the Decarbonisation Opportunity Cluster. Your application must meet all the evidence requirements for your project to be considered.

2.2.1 Projects must be based in NSW

To be eligible, your project must be based in NSW. We may consider special circumstances if you are based outside of NSW. These include where the:

- project will result in job creation within NSW
- clean technology will significantly reduce the state's emissions.

In these circumstances, the department will impose contractual obligations to relocate and maintain the relevant operations in NSW. This may require you to establish an NSW-based office with local staff capable of delivering the project and managing communications with the department within a specified timeframe, once your funding deed is executed.

2.2.2 Technological readiness level

The technology readiness level (TRL) index is a globally accepted benchmarking tool for tracking progress and supporting development of a specific technology through the early stages of the technology development chain from blue sky research (TRL 1) to actual system demonstration over the full range of expected conditions (TRL 9).

Figure 1 offers a pictorial representation of the different TRLs against the commercial readiness index (CRI).

Figure 1: Technology readiness levels and commercial readiness index

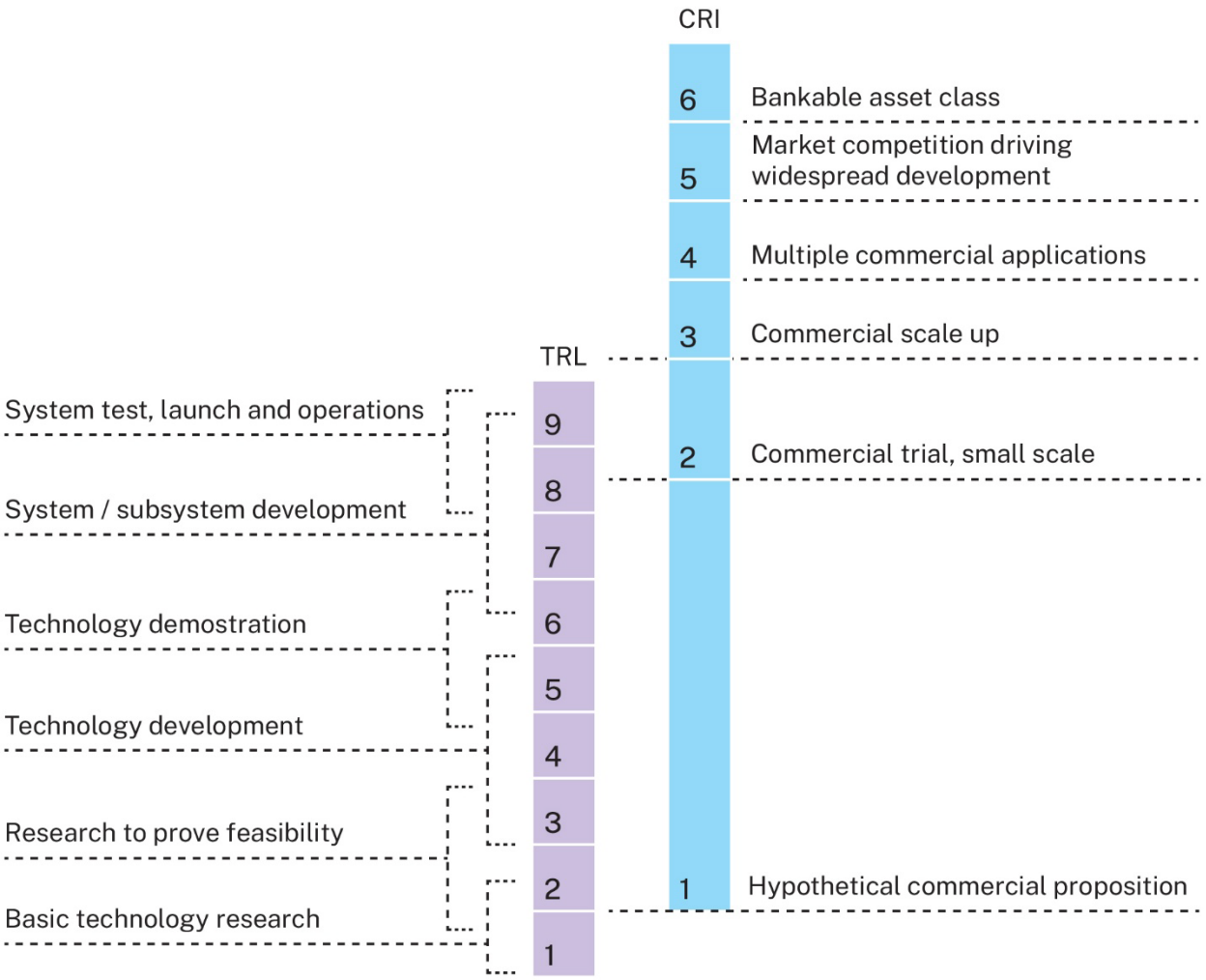

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Table 2 provides a description for each TRL. See ARENA's Technology Readiness Levels for further information.

Table 2: Technology readiness level descriptions

Level	Summary
1	**Basic principles observed and reported:** Transition from scientific research to applied research. Essential characteristics and behaviours of systems and architectures. Descriptive tools are mathematical formulations or algorithms.
2	**Technology concept and/or application formulated:** Applied research. Theory and scientific principles are focused on a specific application area to define the concept. Characteristics of the application are described. Analytical tools are developed for simulation or analysis of the application.
3	**Analytical and experimental critical function and/or characteristics proof of concept:** Proof of concept validation. Active research and development are initiated with analytical and laboratory studies. Demonstration of technical feasibility using breadboard or brassboard implementations that are exercised with representative data.
4	**Component/subsystem validation in laboratory environment:** Standalone prototyping implementation and test. Integration of technology elements. Experiments with full-scale problems or data sets.
5	**System/subsystem/component validation in relevant environment:** Thorough testing of prototyping in representative environment. Basic technology elements integrated with reasonably realistic supporting elements. Prototyping implementations conform to target environment and interfaces.
6	**System/subsystem model or prototyping demonstration in a relevant end-to-end environment:** Prototyping implementations on full-scale realistic problems. Partially integrated with existing systems. Limited documentation available. Engineering feasibility fully demonstrated in actual system application.
7	**System prototyping demonstration in an operational environment:** System prototyping demonstration in operational environment. System is at or near scale of the operational system with most functions available for demonstration and

Level	Summary
	test. Well integrated with collateral and ancillary systems. Limited documentation available.
8	**Actual system completed and qualified through test and demonstration in an operational environment:** End of system development. Fully integrated with operational hardware and software systems. Most user documentation, training documentation and maintenance documentation completed. All functionality tested in simulated and operational scenarios. Verification and validation (V&V) completed.
9	**Actual system proven through successful operations:** Fully integrated with operational hardware/software systems. Actual system has been thoroughly demonstrated and tested in its operational environment. All documentation completed. Successful operational experience. Sustaining engineering support in place.

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2.2.3 Commercial readiness index

The commercial readiness index (CRI) measures the commercial readiness of projects. It extends from the stage where there is research to prove that the technology is feasible (CRI 1) in the field to when the technology or application is being commercially deployed and has become a bankable asset class (CRI 6).

There are 2 components to the CRI: the status summary and the detailed indicators. The status summary correlates to the current status in the market and does not require each of the individual indicators to be at the same level as the status summary. The indicators are used to reflect the commercialisation process along key dimensions.

Table 3 identifies the status summary (blue component of the CRI) and detailed indicators (purple component of the CRI). See ARENA's Commercial Readiness Index for further information.

Table 3: CRI – status summary and indicators

	Status summary level					
Indicators	Bankable grade asset class	Market competition deriving widespread deployment	Multiple commercial applications	Commercial scale up	Commercial trial	Hypothetical commercial proposition
Regulatory environment						
Stakeholder acceptance						
Technical performance						
Financial performance – costs						
Financial proposition – revenue						
Industry supply chain and skills						
Market opportunities						
Company maturity						
	6	5	4	3	2	1

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Table 4 provides a description of the status summary at each CRI level.

Table 4: CRI descriptions

Level	Summary
1	**Hypothetical commercial proposition:** Technically ready – commercially untested and unproven. Commercial proposition driven by technology advocates with little or no evidence of verifiable technical or financial data to substantiate claims.
2	**Commercial trial:** Small-scale, first-of-a-kind project funded by equity and government project support. Commercial proposition backed by evidence of verifiable data typically not in the public domain.
3	**Commercial scale up occurring driven by specific policy and emerging debt finance:** Commercial proposition being driven by technology proponents and market segment participants. Publicly discoverable data driving emerging interest from finance and regulatory sectors.
4	**Multiple commercial applications becoming evident locally although still subsidised:** Verifiable data on technical and financial performance in the public domain driving interest from variety of debt and equity sources, however still requiring government support. Regulatory challenges being addressed in multiple jurisdictions.
5	**Market competition driving widespread deployment in context of long-term policy settings:** Competition emerging across all areas of supply chain with commoditisation of key components and financial products occurring.
6	**'Bankable' grade asset class driven by same criteria as other mature energy technologies:** Considered as a 'bankable' grade asset class with known standards and performance expectations. Market and technology risks not driving investment decisions. Proponent capability, pricing and other typical market forces driving uptake.

Table 5 provides a description for each indicator.

Table 5: CRI descriptions

Indicators	Summary of indicators
Regulatory environment	The maturity of the planning, permitting and standards relating to the technology.
Stakeholder acceptance	The maturity of the process for evidence-based stakeholder consultation linked to renewable energy integration into the energy markets.
Technical performance	The availability of discoverable technical performance information.
Financial proposition: • costs • revenue	The availability of robust, competitive financial information linked to capital and operating costs and forecast revenues allowing investors to take increasing levels of future market and project risk.
Industry supply chain and skills	The development of a competitive and efficient industry product and skills supply chain required to support a commercially viable sector.
Market opportunities	The development from a hypothetical commercial plan to the demonstration of a viable market (local and/or overseas) via competitive channels to market and sustainable business models.
Company maturity	The development of the sector to include established companies with strong credit ratings and established performance records.

2.2.4 Decarbonisation Opportunity Cluster – Priority Sectors

Clean Technology Innovation will prioritise investment in the sectors outlined in the NSW Department of the Chief Scientist and Engineer's Decarbonisation Innovation Study (Figure 2)[1].

Figure 2: NSW Department of the Chief Scientist and Engineer's Decarbonisation Innovation Study priority sectors

SECTOR	2023 Decarbonisation innovation opportunity
ENERGY	• Future energy systems (including smart grids) • New generation renewable and storage (solar PV, battery, wind and offshore wind)
TRANSPORT	• e-mobility and services • Aviation, shipping and long-haul road transport • Non-road vehicle and machinery
BUILT ENVIRONMENT	• Embodied carbon for building
INDUSTRY	• Synthetic biology and bio manufacturing • Power-to-X • Carbon dioxide removal (including direct air capture)
LAND AND AGRICULTURE	• Sustainable land management (including enteric emissions and blue carbon) • Agtech and decarbonisation

[1]The Department of the NSW Chief Scientist and Engineer (OCSE), November 2023, Opportunities for Prosperity in a Decarbonised and Resilient NSW: Decarbonisation Innovation Study

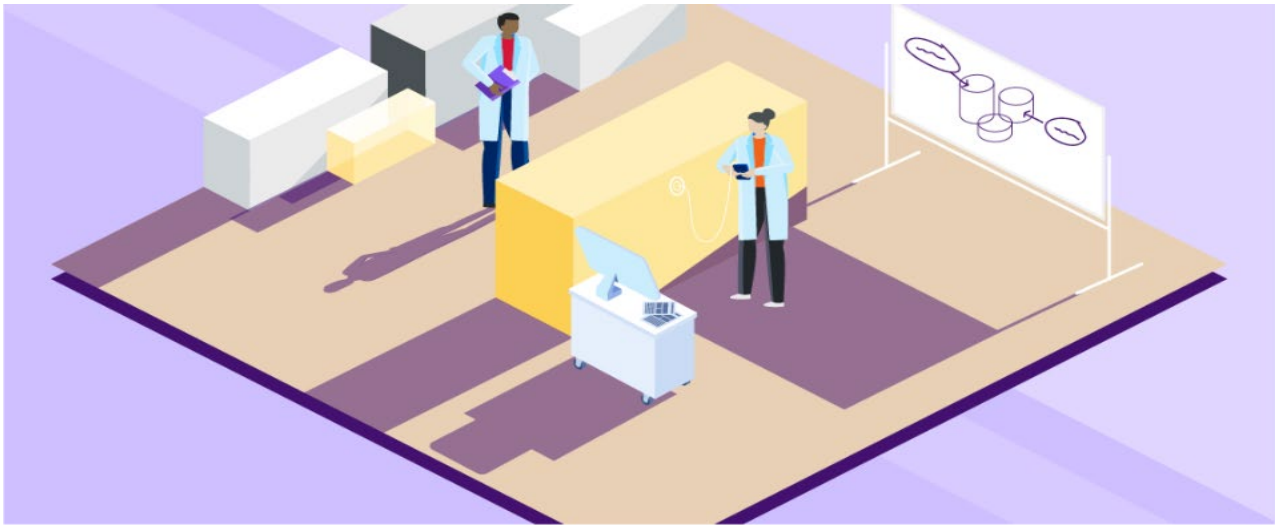
2.2.5 Ineligible projects

Ineligible projects include:

- technology advancement projects (technological readiness level <6)
- scaling or manufacturing a commercially proven technology (commercial readiness index >4)
- marketing or business development activities to support customer sales
- projects aimed at developing a new technology for private use only

- commercialising the new version or iteration of an existing technology where the updates and changes are minor and therefore do not qualify as being an innovation
- engaging one or more experienced executive(s) to fill an identified gap in the project management team, for the purposes of achieving commercialisation outcomes
- projects that have already received NSW Government funding for the same project, or any part of the project with the same scope of outputs and activities.

If your project is ineligible for the Clean Technology Innovation grant, you may be eligible for other grants offered by the department. Other funding opportunities can be found on the NSW Grants and Funding Website.



2.3 Funding requirements

2.3.1 Project budget

You are required to provide a detailed financial proposal and budget. You will need to outline the proposed grant payment profile that aligns with your project's needs. The payment profile is flexible and subject to negotiation if successful.

We may seek advice from external independent advisors regarding the financial proposal and budget, depending on the specific context of each application. We will assess efficient use of funding as part of the assessment process. This assessment will be based on:

- proposed activities
- expected outcomes
- project feasibility
- amount of funding requested.

2.3.2 Co-contributions

You must demonstrate a co-contribution funding arrangement of at least 1:1 between grant funding (from this grant) and other sources (for example, applicant, collaborators, private or other public organisations). In-kind (non-financial) contributions are not eligible.

We will favourably view:

- cash contributions from a potential customer or an institutional investor
- cash contributions which exceed the amount requested of this grant.

You must ensure that any contractual milestones or obligations tied to co-contributions are aligned with your funding proposal.

2.3.3 Eligible costs

Eligible costs are outlined in Table 6 and may only be incurred once both parties (you and the department) have signed a funding deed. This may include costs that:

- are directly related to undertaking your project
- are incurred by you within the project duration
- are a direct cost of the project and are not provided for the benefit or profit of related bodies
- meet the conditions set out in the Clean Technology Innovation grant funding guidelines published on our website, this supplementary guidance and the funding deed.

Table 6: Eligible costs

Eligible costs	Description
Capital expenditure	Capital expenditure including to purchase, install and operate clean technology infrastructure or equipment is eligible. You need to provide a detailed breakdown of the capital expenditure with evidence such as quotes from suppliers and contractors.
Labour expenditure	Expenditure to employ project staff critical to deliver your project is eligible. You cannot use funds to cover the labour costs of existing positions undertaking other work within your organisation.
Contractors or consultants	You may use grant funds to engage contractors or consultants to manage your project or deliver specific components of it. All contractors and consultants must be chosen on their merits and possess the ability to effectively deliver the work. Refer to the Australian Taxation Office (ATO) tool to help you determine if you are hiring an employee or a contractor.
Travel and overseas expenditure	You must detail travel costs in your application. The department may subsequently approve requests if your application is successful. Eligible travel and overseas expenditure include domestic and international travel limited to the reasonable costs of accommodation and transportation required to undertake and deliver the project-by-project staff, contractors or subcontractors. Accommodation costs refers to room expenses only and does not include long-term rental accommodation. Associated costs such as meals, internet, entertainment and other incidentals are not eligible travel expenditure and cannot be claimed. Eligible air transport is limited to an economy class fare. Where non-economy class air transport is used, only the equivalent of an economy fare is eligible, and you must provide evidence showing what an economy airfare costs at the time of travel.

Eligible costs	Description
Intellectual property (IP) protection expenditure	Reasonable costs to protect IP to the project, which you own or will own, are eligible. These can include: • fees to a patent office for the cost of filing a patent application • patent search and examination fees • freedom to operate review costs • annual patent maintenance fees. The cost of defending IP rights is not eligible expenditure, with the exception of legal expenses insurance as it relates to the IP.
Administration	Administrative costs directly related to the project are eligible. These can include office-related overheads associated directly with the delivery of your project such as: • stationary • internet • accounting fees. Where those costs are not currently being supplied or funded by you. Generally, no more than 10% of the total grant may be spent on administrative costs. If you request a larger proportion of the budget to be allocated to administrative costs, you must submit a breakdown of costs and evidence to support your request. The department has discretion regarding whether to accept such requests.

For project periods that do not span a full financial year, the salary amount claimable must be adjusted proportionally.

If successful, you must keep payment records of all eligible expenditure and be able to explain how the costs relate to the agreed project activities.

We may ask you to provide records of the expenditures that the department has been requested to pay. If you do not provide these records when requested, these expenses may not be eligible.

2.3.4 Ineligible costs

The following costs are ineligible for funding:

- the costs to acquire land for your project
- repayment of existing debts or budget deficits
- expenditure that does not directly support the successful completion of your project

- administrative, operational and maintenance costs not related to your project
- items that can reasonably be considered as business-as-usual operational costs (i.e. audit costs)
- salaries for positions that have already been accounted for in organisational budgets
- business-as-usual staff costs unrelated to your project, such as redundancy or retirement benefits, workers compensation payments, professional fees or memberships
- activities carried out or committed to before a grant is offered and accepted
- costs relating solely to marketing, advertising or product promotion, including education and information campaigns
- legal costs associated with a consortium, disputes or funding arrangements not agreed to as part of the funding deed
- infrastructure and equipment that can reasonably be assumed to be integral for the core business, such as laptops.

3. Terms and conditions

3.1 Commencement and authority for the guidelines and supplementary guidance

The Clean Technology Innovation grant funding guidelines published on our website and this supplementary guidance have been approved by the department. Both documents may be varied from time to time or be revoked.

The department has the authority to award funding and execute and vary the funding deeds that allow the NSW Government to provide this funding.

3.2 Limitations

3.2.1 No representation, warranty or guarantee

The department and its employees, agents and officers do not give any representation, warranty or guarantee, whether express or implied, in relation to the information contained in any materials released by the department associated with the grant funding including their:

- completeness
- accuracy
- currency
- reliability
- the process by which they were prepared.

The department and its employees, agents and officers do not provide any opinions regarding legal, accounting, regulatory, taxation or any other matters. Without limiting the foregoing, nothing in the funding materials is or should be regarded as advice in relation to these matters.

Nothing in the funding materials (including, without limitation, the guidelines, supplementary guidance or the funding deed) is or should be regarded as advice. You must satisfy yourself by undertaking your own investigations or seeking advice at your own cost.

3.2.2 No obligation to update

The funding materials, the information contained herein and the matters to which it relates may be amended, updated or withdrawn at any time, at the department's discretion. However,

the department does not accept any responsibility to update, supplement or correct the materials, nor to inform applicants about any matter that may affect the materials.

3.2.3 No liability

The department and its employees, agents and officers expressly disclaim all liability for any loss or damage incurred by any person arising from, or because of, any person's use of or reliance on any information, statement, opinion or matter (express or implied) contained in, derived from, or omitted from the materials, except for any liability which cannot be excluded as a matter of law.

3.2.4 Other limitations

The funding materials are not an offer, recommendation or invitation by the department in respect of any contract or commitment and (subject to a funding deed being fully executed) nothing in the materials will form the basis of any contract or commitment.

You must rely entirely upon your own investigations, review and analysis in relation to your assessment of whether to apply to and participate in the funding.

3.3 Confidentiality and disclosure information

Unless otherwise stated, any commercial-in-confidence information provided by you as part of, or in connection with, a registration, application or negotiation process will be treated confidentially by the department.

We may disclose commercial-in-confidence information provided by you to the following parties:

- the Minister or Minister's Department
- the NSW Ombudsman and Audit Department of NSW
- the Department of Climate Change, Energy, the Environment and Water staff, consultants and advisers
- any agency or body of the NSW Government, or any other organisation or individual considered by the department to have a need or an entitlement to know that information (including any federal, state or territory agency or body), where that need or entitlement to know that information arises out of or in connection with the department's assessment, verification or due diligence of any aspect of your application
- where authorised or required by law to be disclosed to those parties.

If the department discloses commercial-in-confidence information to any of the above parties, the department will inform the party that the information is strictly confidential.

Otherwise, the department will only disclose commercial-in-confidence information provided by you with your consent.

3.4 Conflicts of interest

You, including advisors and consultants engaged on your project, must declare:

- any perceived or existing conflicts of interest
- or that, to the best of your knowledge, there is no conflict of interest as part of your application.

You and your advisors may have a conflict of interest or a perceived conflict of interest if you or any of your board, management or staff:

- have a profession, commercial or personal relationship with a party who can influence the application assessment process
- have a relationship with, or interest in, an organisation, which is likely to interfere with or restrict you from conducting the proposed activities fairly and independently
- have a relationship with, or interest in, an organisation from which they will receive personal gain because your organisation receives funding under these grants.

All applicants are obliged to report any conflict (whether an actual, potential or perceived conflict of interest) that may arise (including during the application or assessment phase) of the project. For example, an applicant may want to engage an NSW Government advisor to help it prepare its grant application.

A consultant or service provider that is currently working with the department must declare this as a conflict but is not automatically precluded from being engaged by a grant recipient. Factors which will be considered when determining whether you can engage a consultant or service provider include:

- the size of the organisation. That is, a large consultancy is more likely to be able to use separate teams on a project
- the nature of the work being undertaken by the consultancy. That is, similar work increases the potential for probity risks to arise
- the extent to which individuals involved had access to confidential information about the project and whether this information is to be released to the market as part of the Net Zero Manufacturing Initiative.

Decisions relating to conflicts of interest will be made in accordance with the department delegations for expenditure.

If the grant recipient is permitted to engage the consultant or service provider, the department's project team will consider seeking assurance from the consultant or service provider that it will put appropriate processes in place to manage probity risks.

As part of ongoing reporting requirements, you are required to update any conflicts of interest where applicable. You will be asked whether any conflicts of interest have arisen during the reporting period or if any are likely to arise. Updated declarations and confirmations are required from you when new personnel join the project or at the commencement of a new project or phase within a project.

NOTE: You are advised to contact us if you are unsure whether something constitutes an actual, potential or perceived conflict of interest.

3.5 Evaluation

The department will evaluate the grant initiatives to measure how well the outcomes and objectives have been achieved.

We may also interview you or ask you for more information to help us understand how the grant impacted you and to evaluate how effective the funding was in achieving its objectives.

Without limiting any specific reporting or evaluation requirements set out in any funding deed you execute with the department, the department may contact you up to 2 years after you finish your project for more information to assist with this evaluation. You consent to the use of your information for this purpose by:

- the department
- NSW Treasury
- the Minister or Minister's Department
- the NSW Ombudsman and Audit Department of NSW
- Department of Climate Change, Energy, The Environment and Water staff, consultants and advisers
- any agency or body of the NSW Government, or any other organisation or individual considered by the department to have a need or an entitlement to know that information (including any federal, state or territory agency or body), where that need or entitlement to know that information arises out of or in connection with the purpose above.

3.6 Grant acknowledgement

If a successful applicant/grant recipient makes a public statement about their funded project, they are required to acknowledge the funding support provided by the department.

Grant recipients must also provide any communication materials (print or digital) around your project that references funding from this grant, for approval by the NSW Government before release or distribution. This includes any media releases about your funded project.

3.7 Discretion of the department

Notwithstanding anything else in the guidelines and supplementary guidance, applicants acknowledge and agree that the department has the right (in its absolute discretion) to reject, refuse to consider or cease to assess an application, at any time, if it is of the view (in its absolute discretion) that an application is unlikely to be successful.

Applicants acknowledge and agree that the department has the right to amend the process or reallocate funding to other applicants or projects, where it is of the view that it needs to do so.

3.8 Privacy

The department uses the information you supply to us to process and assess your application. While the department does not publicly release your application as a matter of policy, the department may be required to do so under the *Government Information (Public Access) Act 2009* or another lawful requirement.

The department may also disclose information you supply to us for the purpose of milestone reporting, evaluating and/or auditing this grant. If you require strict commercial and/or personal confidentiality, you should address this in your application.

More information on the *Government Information (Public Access) Act 2009* is available on the website.

For more information

For more information about the Net Zero Manufacturing Initiative grants please visit our website or contact us via the email below.

www.energy.nsw.gov.au/net-zero-manufacturing-initiative | netzeroinnovation@environment.nsw.gov.au